Holger Diessel University of Jena

holger.diessel@uni-jena.de http://www.holger-diessel.de/ Words seem to have a prototype structure; but language does not only consist of words.

What is the nature of grammatical categories?

- 1. conjunction
- 2. subject
- 3. transitive clause

### **Grammatical categories**

- Hypothesis 1: Grammatical categories are like words. They have a prototype structure grounded in experience?
- Hypothesis 2: Grammatical categories are like mathematical categories? They have clear-cut boundaries and are *not* grounded in experience.

# **Generative grammar**

Noam Chomsky



## The autonomy of syntax

Colorless green ideas sleep furiously.

[Chomsky 1957]

### Categories and rules

Parts of speech: N, V, DET

Phrasal categories: NP, VP, S

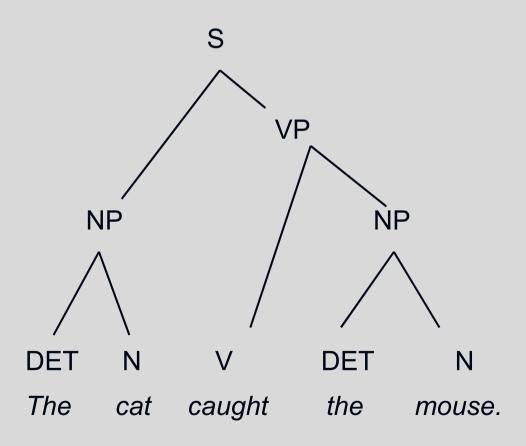
Phrase structure rules:

 $NP \rightarrow DET (A) N$ 

 $VP \rightarrow V (NP)$ 

 $S \rightarrow NP VP$ 

# Categories and rules

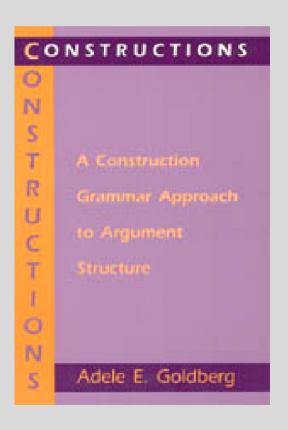


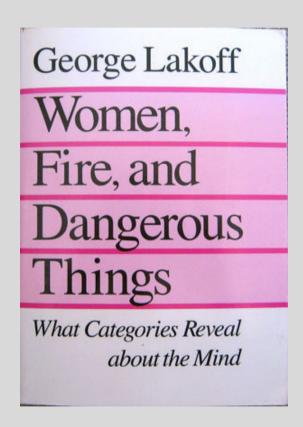
**Charles Fillmore** 

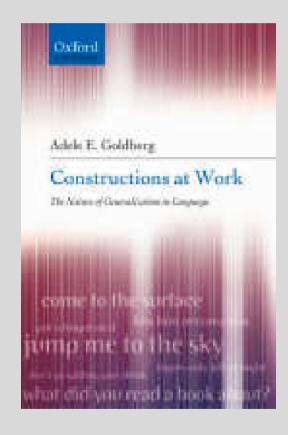
Paul Kay

George Lakoff

Adele Goldberg







What is a construction?

A construction is a complex linguistic sign that combines a specific form with a particular meaning.

Fillmore, C., Kay, P. and O'Connor, M.K. 1988. Regularity and idiomaticity in grammatical constructions: The case of *let alone*. *Language* 64: 501-38.

Nunberg, S., Sag, I.A., and Wasow, T. 1994. Idioms. *Language* 70: 491-538.

#### **Proverbs**

- (1) An apple a day keeps the doctor away.
- (2) The grass is always greener on the other side.
- (3) Birds of a feather flock together.

Idioms are prefabricated chunks, conventionalized collocations, utterance formulas.

- (1) a. How are you doing?
  - b. Thank you, I'm fine.
  - c. What can I do for you?
  - d. Get the hell out of here!
  - e. You can't have it both ways.
  - f. Either way is fine.
  - g. Say that again.
  - h. I don't believe what's happening.
  - i. You gotta be kidding.
  - j. No, I'm dead serious.

```
(2) a. Why don't you __ .
b. I don't know __ .
c. Do you mind if __ .
d. I am just about to __ .
e. Would you please __ .
f. __ is not in the position to __ .
g. I can't help Ving __ .
h. __ never got around to __ .
j. That's just about the __ that __ .
k. I wonder if __ .
```

The frequent use of prefabricated chunks (i.e. idiomatic expression) is one of the features that distinguishes the speech of native speakers from the speech of second language learners.

[Pawley and Syder 1983]

Native-like competence and fluency demands such idiomaticity.

[Nick Ellis 2003]

Encoding idioms	Decoding idioms
answer the door	kick the bucket
wide awake	pull a fast one

Encoding idioms	Decoding idioms
answer the door wide awake	kick the bucket pull a fast one
Meaning partly transparent	Meaning completely opaque

Grammatical idioms	Extragrammatical idioms
kick the bucket	all of a sudden
spill the beans	by and large

Grammatical idioms	Extragrammatical idioms
kick the bucket spill the beans	all of a sudden by and large
Semantically irregular but syntactically regular	Semantically irregular and syntactically irregular

Idioms with pragmatic point	Idioms without pragmatic point
Good morning.	All of a sudden.
See you later.	Either way is fine.
Once upon a time.	That's just about the that
Him be a doctor?!	You can't have it both ways.
How are you doing?	Say it again.

Idioms with pragmatic point	Idioms without pragmatic point
Good morning.	All of a sudden.
See you later.	Either way is fine.
Once upon a time.	That's just about the that
Him be a doctor?!	You can't have it both ways.
How are you doing?	Say it again.
Tied to a specific pragmatic context	Not tied to a specific pragmatic context

Substantive idioms	Formal (schematic) idioms
It takes one to know one.  > *It took one to know one.	The the let alone Why don't you ?
So far so good >*So far so bad.	never got around to

Substantive idioms	Formal (schematic) idioms
It takes one to know one.  > *It took one to know one.  So far so good	The the let alone Why don't you ? never got around to
>*So far so bad.  Lexically filled and grammatically invariable	Partially filled by lexical items and partially variable

#### Hypothesis:

Idioms are fixed irregular expressions that are learned and memorized like words.

- (1) He kicked the bucket.
- (2) \*The bucket was kicked.
- (3) \*They kicked the buckets.
- (4) He will kick the bucket.
- (5) ?He had kick the bucket.

# Let alone

- (1) a. We'll need **shrimp and squid**.
  - b. Max won't eat shrimp, let alone squid.

- (2) a. I want to cook the shrimp and clean the squid.
  - b. Max won't touch the shrimp, let alone clean the squid.

- (3) a. Bill will drink beer and whisky.
  - b. Bill won't drink beer and whisky.

- (4) a. \*Bill will drink beer let alone whisky.
  - b. Bill won't drink beer let alone whisky.

- (5) a. Shrimp and squid, John won't eat.
  - b. \*Shrimp let alone squid, John won't eat.

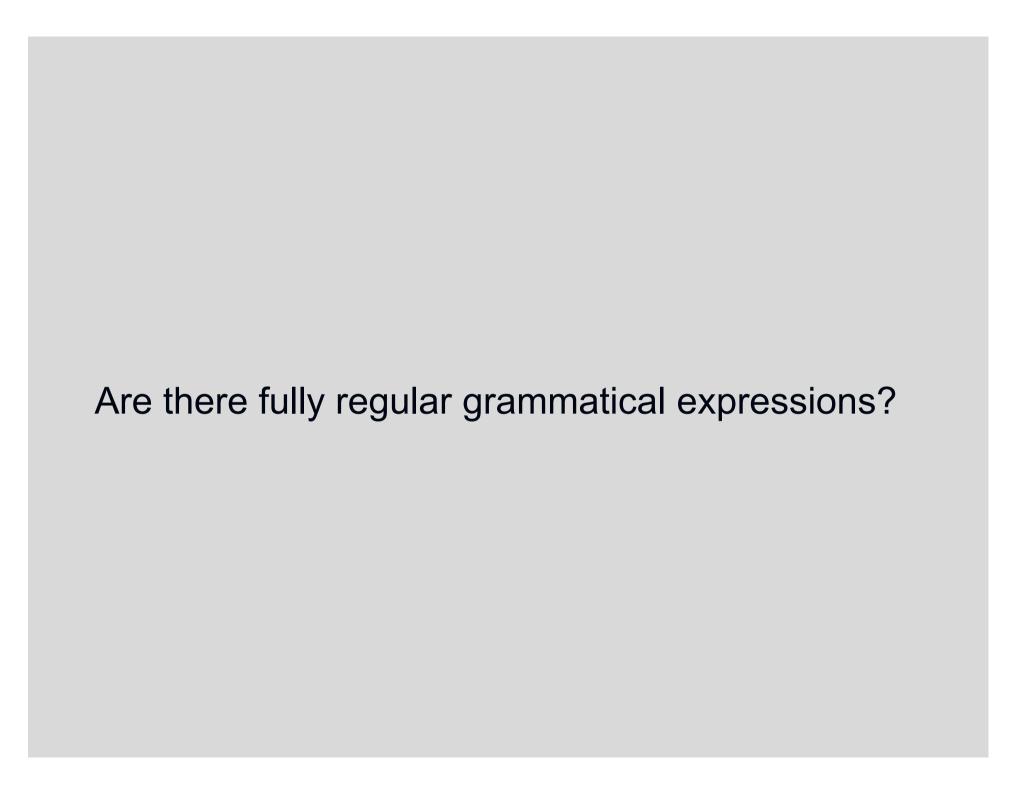
- (6) a. Shrimp, John won't eat, let alone squid.
  - b. \*Shrimp, John won't eat and squid.

- (7) a. Max won't eat shrimp but Minnie will.
  - b. \*Max won't eat shrimp let alone Minnie will.

#### Conclusion:

Idioms have both idiosyncratic properties that must be memorized and general grammatical properties that characterize 'regular' grammatical expressions.

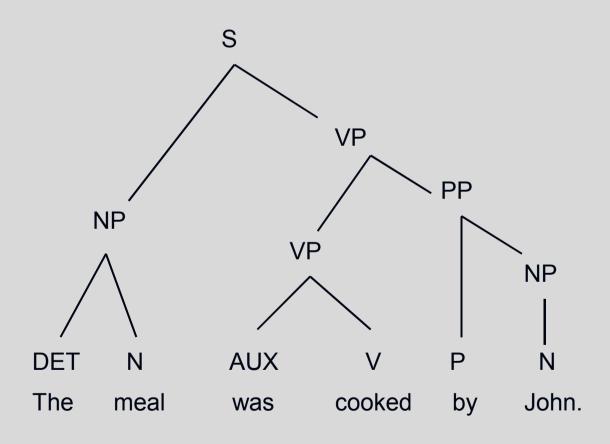
## What's left?



### **Passive**

(1) The meal was cooked by John.

### **Passive**



#### **Passive**

- The subject functions as patient rather than actor.
- The verb occurs in a particular form (be + past PTC).
- The by-phrase has a particular function/meaning.

There is no principled difference between idiomatic constructions and regular grammatical constructions

- (1) She dragged the child into the car.
- (2) He wiped the mud off his shoes.
- (3) She forced the ball into the jar.
- (4) He pushed the book down the chute.

Form: SU V DO PP

Meaning: <X causes Y to move somewhere>

Where does the meaning come form?

Traditional view: The meaning of the construction is derived from the meaning of the words it includes.

Verbs: drag x into

wipe x off

force x into

push x down

causative + motion

(1) She sneezed the napkin off the table.

The caused motion construction is idiosyncratic in that it evokes a construction-specific interpretation.

The meaning of the construction is more than the meaning of its components.

The construction as a whole has a particular meaning.

### Resultative construction

(1) Peter meeked the bleek dizzy.

<X changes Y in such a way that Y becomes Z>

#### Conclusion

There is no principled difference between idioms and grammatical constructions:

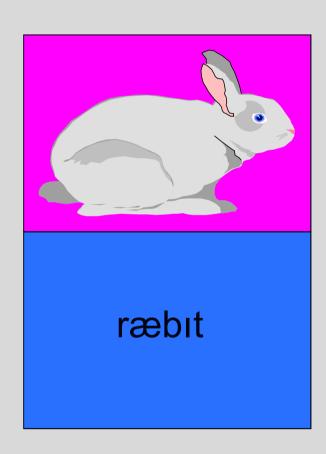
Both involve regular and idiosyncratic properties.

If constructions include idiosyncratic properties they must be stored and memorized like words.

#### Conclusion

A construction is a complex linguistic sign that combines a specific form with a particular meaning.

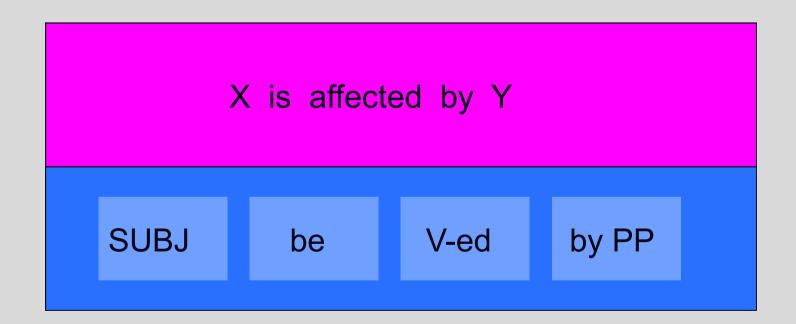
# Linguuistic sign

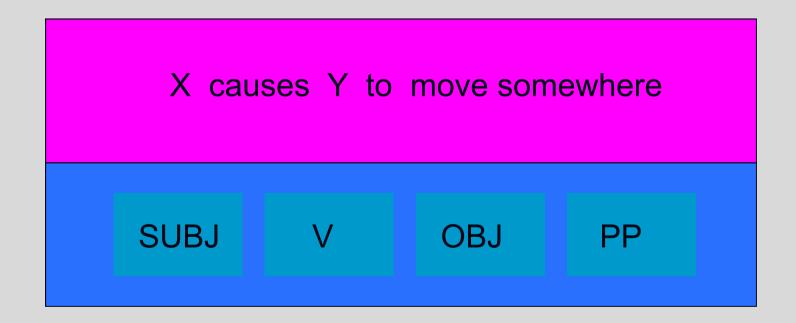


### Conclusion

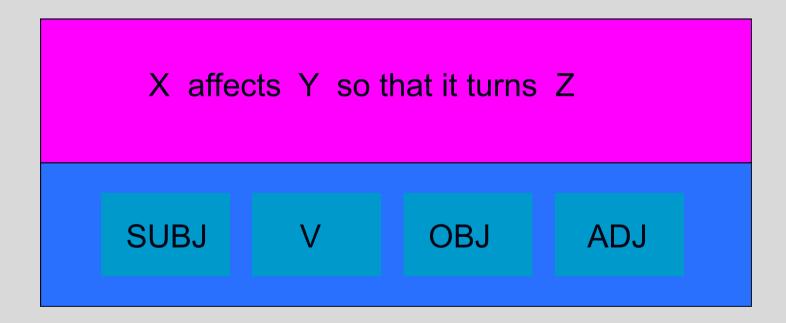
Constructions are ,big words'. (Dąbrowska 2000)

#### **Passive construction**





#### Resultative construction



#### **Construction Grammar**

If grammatical units are linguistic signs, grammatical categories may be of the same type as words.

## **Gramatical categories**

How is the category 'subject' represented in mental grammar?

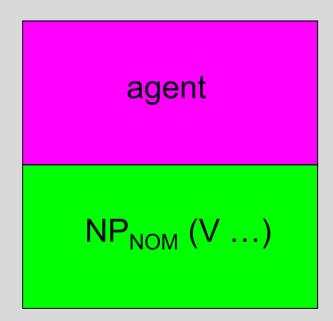
-> Is the subject a category of non-linguists?

- The subject is the NP before the verb.
- The subject agrees with the verb.
- Pronominal subjects occur in nominative case.
- The subject functions as controller of participle adverbial

- (1) Peter met Mary.
- (2) John hates country music.
- (3) He likes this picture.
- (4) Watching TV he did not noticed Jane.

- (1) Peter walked down the street.
- (2) The man kicked the ball.
- (3) The dog was barking.

Subject = agent



The subject precedes the verb:

- (1) Yesterday, Peter met Mary.
- (2) Across the bridge lived an old man.

The subject agrees with the verb in third person.

- (1) Peter likes bananas.
- (2) There are my shoes.

The subject occurs in nominative case.

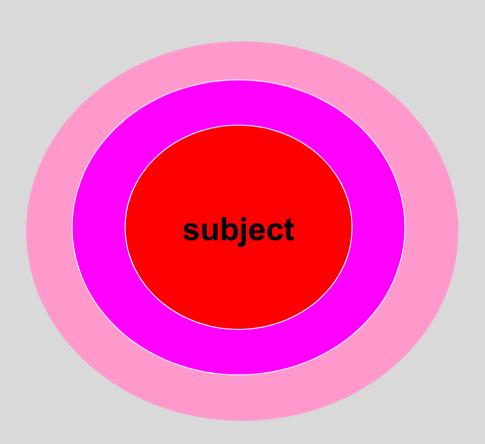
- (1) He(\*him) is a teacher.
- (2) Him be a doctor!

The subject controls the actor of the omitted subject of participle adverbial clauses and coordinate sentences.

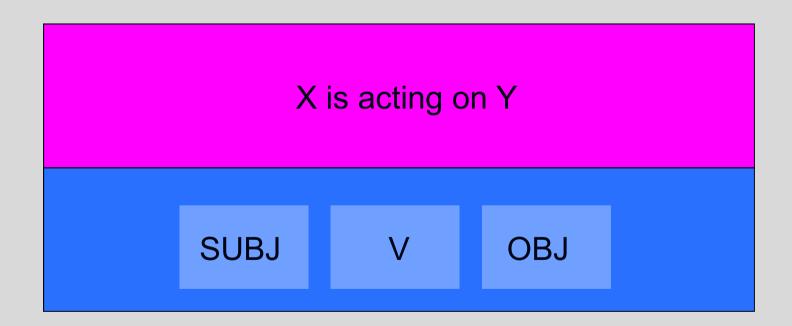
- (1) Entering the room, Peter saw Mary.
- (2) The conference closed, we left London.

The subject functions as actor.

- (1) Peter kicked the ball.
- (2) The ball was kicked against the wall.
- (3) The bomb exploded.



### **Transitive construction**



- (1) a. Peter kicked the ball. [activity vs. psych]
  - b. Peter likes bananas
- (2) a. Peter is eating it up. [telic vs. atelic]
  - b. Peter is eating it.
- (3) a. I write your name. [+/-volitional]
  - b. I forgot your name.

(4) a. I kicked the ball. [+/-punctual]

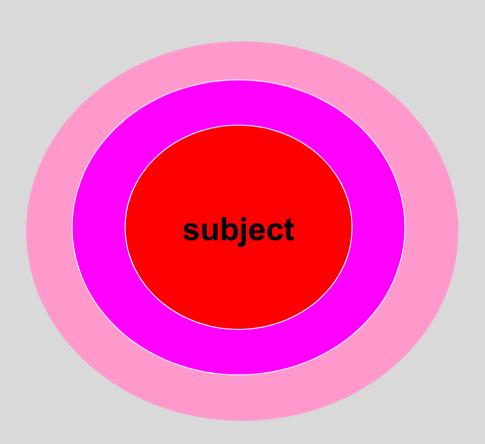
b. I was kicking the ball.

(5) a. I drank the beer. [+/-countable]

b. I drank some beer.

(6) a. I kicked the ball. [+/-negative]

b. I didn't kick the ball.



### **Genitive**

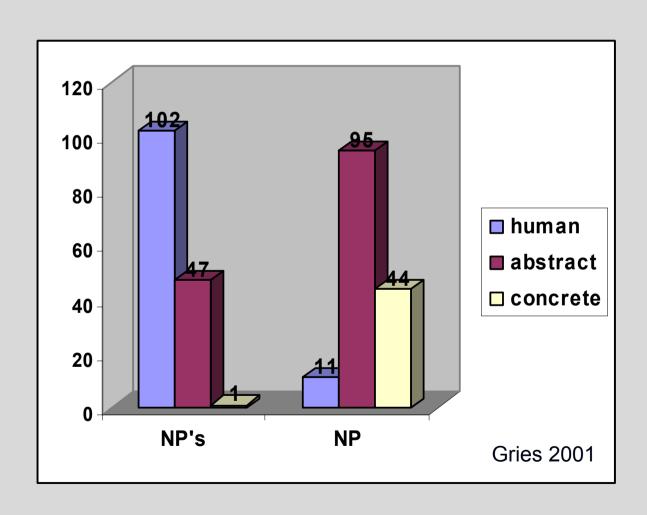
Peter's car

- (1) Peter's car
- (2) John's hand
- (3) John's train (to London)
- (4) the secretary's computer
- (5) the dog's bone
- (6) the car's door
- (7) the play's final act

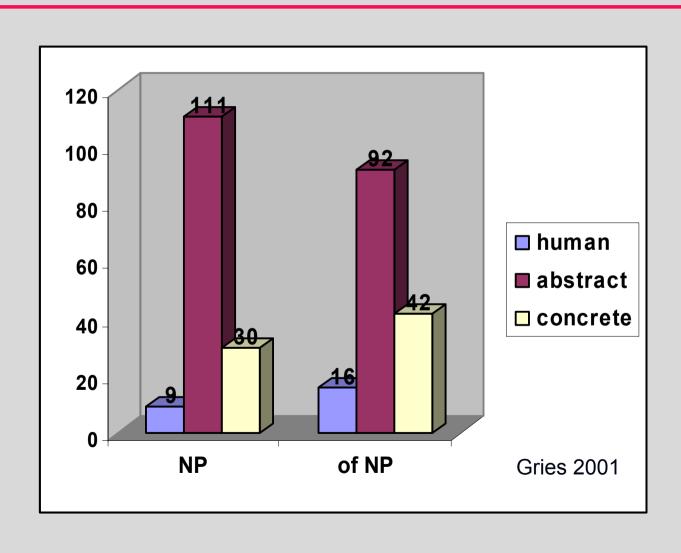
(1) John's photograph

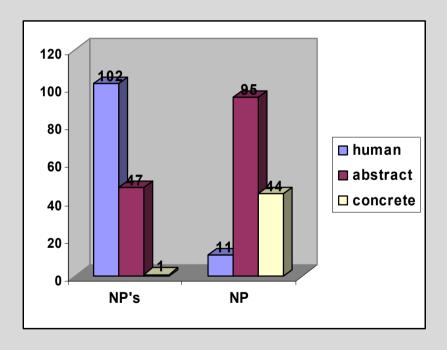
- 1. the photograph that John owns
- 2. the photograph that John took
- 3. the photograph that depicts John

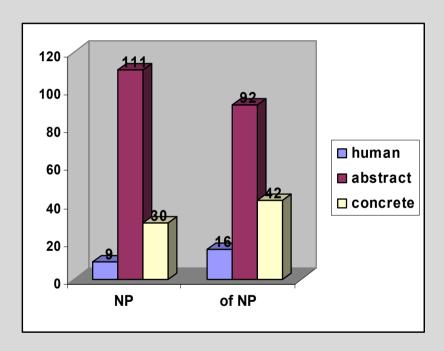
- 1. NPs referring to abstract entities
- 2. NPs referring to concrete entities
- 3. NPs referring to humans



- (1) a. my father's car
  - b. the car of my father
- (2) a. the student's name
  - b. the name of the student







S-gentive

of-gentive

#### s-genitive

1. Human's concrete Peter's car

2. Human's abstract Peter's idea

#### of-genitive

1. Abstract of abstract the foundation of the theory

2. Abstract of concrete the consequence of the accident

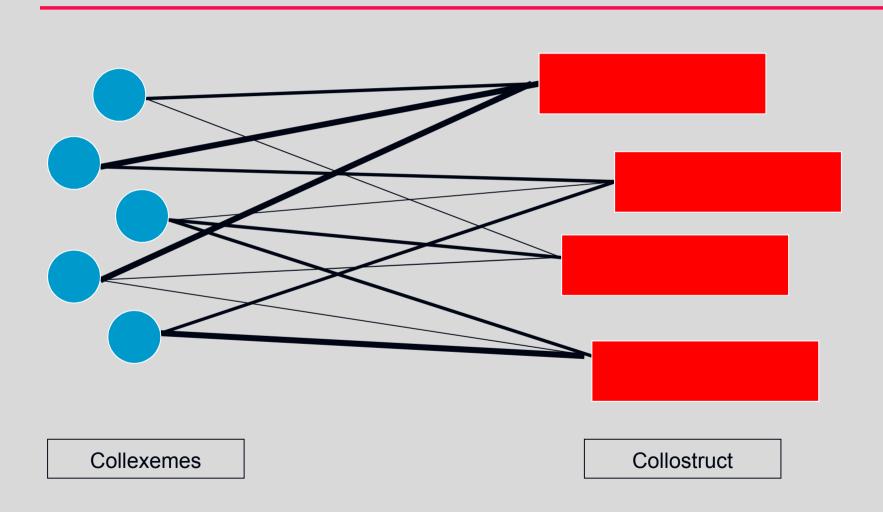
3. Concrete of concrete the roof of the house

## Collostructional analysis

Collostructional analysis is a corpus-linguistic method that measures the associations between words and constructions.

Gries and Stefanowitsch 2003, 2004

# **Collostructional analysis**



- (1) a. Peter gave the dog a bone.
  - b. Peter gave the bone to the dog.

	Double object	To-dative
give	461	146
send	213	314
tell	98	32
bring	5	27
offer	34	22

- (1) a. I lend Peter the book.
  - b. I lend the book to Peter.

	Double object	To-dative
lend	85	102

	Double object	To-dative
lend	85	102
other verbs	574	1.773

	Double object	To-dative	Total
lend	85	102	187
Other Vs	950	1517	2.467
Total	1.035	1.619	2654

Expected frequency = 
$$\frac{x \times y}{\text{total}}$$

	Double object	To-dative	Total
lend	85 (73)	102 (114)	187
other Vs	950 (962)	1517 (1504)	2.467
Total	1.035	1.619	2654

Double object		
Collexeme	Strength	
Give	1.84E-120	
Tell	8.77E-58	
Show	8.32E-12	
Offer	9.95E-10	
Cost	9.71E-09	

Double object		To-dative	
Collexeme	Strength	Collexeme	Strength
Give	1.84E-120	Bring	1.47E-09
Tell	8.77E-58	Send	1.46E-06
Show	8.32E-12	Take	0.00002
Offer	9.95E-10	Pass	0.00002
Cost	9.71E-09	Make	0.0068

### Conclusion

- Grammar consists of constructions (i.e. complex linguistic signs).
- Linguistic signs are prototype categories.
- The prototype of a construction is characterized by the meaning of words that are strongly associated with a construction.

## Questions

- Constructions have been characterizes as 'big words'. What does that mean?
- Explain in which sense 'regular' constructions such as passive sentences (e.g. The door was opened by Jane) or resultative sentences (e.g. Joe pushed the door open) are similar to idioms such as He kicked the bucket.